

Form PTO-1449 (Modified) 				Atty Docket No.:	Serial No.:			
				3866.P009	10/082,519			
List of Patents and Publications (Use several sheets if necessary)				Applicants: Ali Kutay, et al. Filing Date: February 22, 2002				
REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS					
Exam. Initial		Date	Document Number	Name		Class	Sub-Class	Filing Date
NN		7/06/99	5,920,867	Van Huben, et al.				
NN		9/28/99	5,960,200	Eager, et al.				
NN		10/26/99	5,973,699	Kent				
NN		2/08/00	6,023,701	Malik, et al.				
NN		5/30/00	6,068,486	Frank, et al.				
NN		7/04/00	6,083,276	Davidson, et al.				
NN		7/04/00	6,085,226	Horovitz				
NN		5/02/95	5,412,768	Ozaki				
NN		8/29/95	5,446,833	Miller, et al.				MAY 20 2002
NN		5/07/96	5,515,846	Amro, et al.				
NN		9/17/96	5,557,714	Lines, et al.				Technology Center 2100
NN		11/05/96	5,572,643	Judson				
NN		5/18/99	5,905,492	Straub, et al.				
NN		9/28/99	5,959,621	Nawaz, et al.				
NN		9/28/99	5,959,623	Van Hoff, et al.				
NN		11/3/92	5,161,013	Rylander, et al.				
NN		4/18/44	Des137,676	Eisler				
NN		12/23/69	Des216,365	Benz				
NN		11/21/1865	51,072	Moore				
NN		11/9/99	5,983,267	Shkclar, et al.				
NN		8/15/00	6,102,969	Christianson, et al.				
NN		10/3/00	6,128,619	Fogarasi, et al.				
NN		11/7/00	6,144,375	Jain, et al.				
NN		11/7/00	6,144,962	Weinberg, et al.				
NN		11/28/00	6,154,213	Rennison, et al.				
NN		2/13/01	6,189,019B1	Blumer, et al.				
NN		3/6/01	6,199,082B1	Ferrel, et al.				
Examiner 				Date Considered 11/29/04				
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Form PTO-149 (Modified)

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Atty Docket No.:

3866.P009

Serial No.:

10/082,519

List of Patents and Publications Statements

(Use several sheets if necessary)

Applicants:

Ali Kutay, et al.

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FOREIGN PATENT DOCUMENTS

No.		Document No.	Date	Country	Name	Class	Sub-Class	Trans-lation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

NN	"Cone Trees: Animated 3D Visualizations of Hierarchical Information", George Robertson, et al., 3/91, 1991 ACM 0-89791-383-3/91/0004/0189.
NN	"MAPA™ Product Overview, Full Strength Mapping For The World Wide Web", Mark Walter, Seybold Report on Internet Publishing January 1997, revised 1/31/97, Dynamic Diagrams, Inc., Providence RI 02903.
NN	Site Manager User's guide, Copyright 1998, Silicon Graphics, Inc., Document Number 007-3320-002.
NN	"A Focus+ Context Technique Based on Hyperbolic Geometry for Visualizing Large Hierarchies", John Lamping, Ramana Rao and Peter Pirolli, CHI 1995 Mosaic of Creativity, May 7-11, 1995, pp. 401-408.
NN	"Hierarchical Visualization with Treemaps: Making Sense of Pro Basketball Data", David Turo, Conference Companion, CHI'94, Boston MA April 24-28, 1994, pp. 441-442.
NN	The Brain™ Users Manual, Copyright 1998 Natrificial LLC, posted June 9, 1998.
NN	"Anticipatory Calculation of Two- Dimensional views of Multi- Dimensional Object", IBM Technical Disclosure Bulletin, vol. 34, no. 6, November 1991, p. 117-120.
NN	Semiomap®: the Text Mining solution, Copyright 1998, Semio Corporation, San Mateo, CA.
NN	"Graphical Fisheye Views", Manojit Sankar and Marc H. Brown, Communications of the ACM, December 1994, vol. 37, no. 12, pp. 73-84.
NN	"Graphical User Interface Mechanism Using Image Of Surface Of 3-D Sphere Onto Which 2-D Graphs Or Figures Are Mapped", IBM Technical Disclosure Bulletin, vol. 38, no. 01, January 1995, pp. 429-430.
NN	"Smart 3-D Maps go Public", R. Colin Johnson, EE Times Online, 12/01/98, 6:06pm, EDT (web page www.eet.com).
NN	"Product: Technology Overview", Cartia, Inc. web page (www.cartia.com/product/overview.html). no date given.
NN	"Product: Product Profile", Cartia, Inc, web page (www.cartia.com/product/profile.html). No date given.
NN	"Product: Screen Shots", Cartia, Inc, web page (www.cartia.com/screenshots/screenshot_menu.html). No date given.

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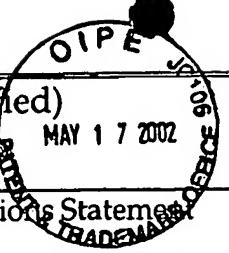
NN	"Three-Dimensional Data Space is This Architect's Search Plan", Andrew Marlatt, Internet World (www.plumbdesign.com/news/iw/index.html), March 16, 1998.
NN	"Mapping the Future", Noah Robischon, The Netly News (www.plumbdesign.com/news/netlynews/index.html), February 4, 1998.
NN	Information Visualization", Xerox PARC UIR (www.parc.xerox.com/istl/projects/uir/projects/InformationVisualization.html). No date given.
NN	"Java-Based Apps - Mapuccino" (www.ibm.com/java/mapuccino). No date given.
NN	"VizControls™ Portfolio of Products - Overview", inxight (www.inxight.com/Article.cfm?articleID=135#Thinkmap), No date given.
NN	"What is Thinkmap™?", Plumb Design, Inc. (www.thinkmap.com). No date given.
NN	"Thinkmap™ White Paper", Plumb Design, Inc. (www.thinkmap.com/pdf/TM_whitepaper_english.pdf). No date given.
NN	"Plumb Design Visual Thesaurus", Plumb Design, Inc. (www.thinkmap.com/article.cfm?articleID=37). No date given.
NN	"Potential Uses", Plumb Design, Inc. (www.thinkmap.com/what/uses.html). No date given.
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NN	"VRML Conetree Generator", Dr. Roger W. Webster (http://iml.millersv.edu/work2/conetree/) No date given.
NN	"Olive - Background", (www.otal.umd.edu/Olive/Tree.html). No date given.
NN	"Multi-level Navigation of a Document Space", Michael B. Spring, Emile Morse and Misook Heo, September 22, 1996, 09:13:45 EDT (www.lis.pitt.edu/~spring/mlnds/mlnds.html).
NN	"Webspace Visualization" Ed H. Chi (www.geom.umn.edu/software/weboogl/webspace). June 18, 1995
NN	"Visualizing the Structure of the World Wide Web in 3D Hyperbolic Space", Tamara Munzner, November 21, 1995, 23:43:05 CST (www.geom.umn.edu/docs/research/webviz/).
NN	"HotSauce lets users fly thorough the Web" Ross Scott Rubin, MacWeek Gateways, September 23, 1996, volume 10, number 36 (http://macweek.zdnet.com/mw_1036/gw_hotsauce.html).

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11/29/04

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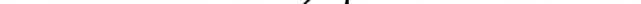
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FOREIGN PATENT DOCUMENTS Technology Center 2100								
No.		Document No.	Date	Country	Name	Class	Sub-Class	Trans-lation
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)								
NN		"Visualizing Complex Hypermedia Networks Through Multiple Hierarchical Views", Soughta Mukherjea, James D. Foley, Scott Hudson (www.acm.org/sigchi/chi95/Electronic/documents/papers/sm_bdy.html). May 1995.						
NN		"3D Tree-Mapped Universes and Data Sphere Navigation, a Method to Increase the Density of Hierarchical Information Visualization in a Finite Display Space", Perry Pearson, Joe Steinmetz, 12/5/93.						
NN		Case Studies in Mathematical Modeling – Ecology, Physiology, and Cell Biology, Hans G. Othmer, Fred R. Adler, Mark A. Lewis and John C. Dallon, ©Prentice Hall Inc., 1997.						
NN		Mathematical Modeling in the Life Sciences, Paul Doucet and Peter B. Sloep, ©Ellis Horwood Limited, 1992.						
		"Smart Patent Licenses Inxight Software's Hyperbolic Tree Technology", Inxight Web Page http://www.inxight.com/news/press_release/archives/1998/smartpatents.html . March 24, 1998.						
NN		"Breakthrough Inxight Hyperbolic Tree Offers Powerful 3D-Like View Complex Data Structures", Inxight Web Page, http://www.inxight.com/news/press_release/archives/1998/breakthrough.html . May 12, 1998.						
NN		"Creating Commercial Web Pages", Laura Lemay publisher Sams, 7 pages, August 1996.						
NN		"Interfacing Online Bibliographic Database with Z39.50", Database Engineering and Applications Symposium IDEAS' 97, pages 379-387, August 1997.						
NN		"Exposing Application Alternatives, Distributed Computing Systems", KELEHER et al, pages 384-392, June 1999.						
NN		International Search Report PCT/US00/08189, 7 pages, 7/14/00.						
NN		International Search report PCT/US00/08120, 5 pages, 9/18/00.						
NN		International Search report PCT/US00/08120, 4 pages, 8/31/01.						
NN		International Search report PCT/US01/40850, 4 pages, 9/18/01.						
NN		PCT Search Report, PCT/US01/18250, 5 pages, 10/18/01.						
NN		PCT Search Report, PCT/US01/18213, 5 pages, 11/16/01.						
Examiner 		Date Considered 11/29/04						

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

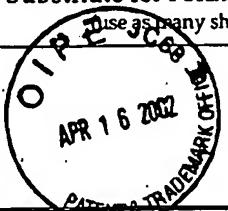
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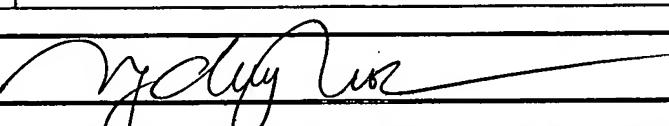
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Substitute for Form 1449A/PTO (Modified) <small>Use as many sheets as necessary</small>		Attorney Docket No.: 3866.P009	Application Number: 10/082,519
		First Named Inventor: Ali Kutay, et al.	
		Filing Date: February 22, 2002	

U.S. PATENT DOCUMENTS					
Exam. Initial*	Cite No. ¹	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ² (If known)			
MM		5,953,707	Huang et al.	9/14/1999	

FOREIGN PATENT DOCUMENTS						
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		Office ³ Number ⁴ Kind Code ⁵ (If known)				

OTHER ART - NO PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				Translation ²
NN		PCT Written Opinion, PCT/US00/08189, 3/8/2002, 4 pages.				

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		11/29/06

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U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
NN		5,915,113		McDonald et al.	06/22/1999	
NN		5,946,485		Weeren et al.	08/31/1999	
NN		6,064,812		Parthasarathy et al.	05/16/2000	
NN		5,745,712		Turpin et al.	04/28/1998	
NN		6,069,630		Lisle et al.	05/30/2000	
NN		5,600,780		Hiraga et al.	02/04/1997	
NN		6,370,537		Gilbert et al.	04/09/2002	

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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NN		PCT Search Report, PCT/US02/05564, 5/15/2002, 5 pages.	

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